

<b>Title of Report</b>	<b>INTERNAL AUDIT PROGRESS REPORT</b>	
<b>Presented by</b>	Kerry Beavis Audit Manager	
<b>Background Papers</b>	<a href="#">Public Sector Internal Audit Standards</a>  <a href="#">Internal Audit Plan 2023/24</a>	<b>Public Report:</b> Yes
<b>Financial Implications</b>	There are no direct financial implications arising from the report.	
	<b>Signed off by the Section 151 Officer:</b> yes	
<b>Legal Implications</b>	None arising from the report.	
	<b>Signed off by the Monitoring Officer:</b> yes	
<b>Staffing and Corporate Implications</b>	There are no direct staffing implications arising from the report.	
	<b>Signed off by the Head of Paid Service:</b> yes	
<b>Purpose of Report</b>	To inform the Committee of progress against the Internal Audit plan for 2023/24 and to highlight any incidences of significant control failings or weaknesses that have been identified.	
<b>Recommendations</b>	<b>THE AUDIT AND GOVERNANCE COMMITTEE NOTE THE REPORT.</b>	

## 1.0 BACKGROUND

- 1.1. The Public Sector Internal Audit Standards require the Authority's Audit Committee to approve the audit plan and monitor progress against it. The Standards state that the Committee should receive periodic reports on the work of internal audit.
- 1.2. The Audit and Governance Committee approved the 2023/24 Audit Plan on 26 April 2023. The Committee receives quarterly progress reports.

## 2.0 PROGRESS REPORT

- 2.1 The Internal Audit Progress Report for the period 1 October 2023 to 31 December 2023 (Q3) is attached at Appendix 1.

### 3.0 FINANCIAL IMPLICATIONS

3.1 None

<b>Policies and other considerations, as appropriate</b>	
Council Priorities:	An effective internal audit service supports all council priorities. <ul style="list-style-type: none"><li>- A Well-Run Council</li><li>- Clean, Green and Zero-Carbon</li><li>- Communities and Housing</li><li>- Planning and Regeneration</li></ul>
Policy Considerations:	N/A
Safeguarding:	There are no specific risks associated with this report.
Equalities/Diversity:	N/A
Customer Impact:	N/A
Economic and Social Impact:	N/A
Environment, Climate Change and Zero Carbon	N/A
Consultation/Community/Tenant Engagement:	The report was presented to Corporate Leadership Team on 20 December 2023
Risks:	There are no specific risks associated with this report however if the Audit and Governance Committee did not receive periodic reports from Internal Audit, there would be a risk of not conforming with the Public Sector Internal Audit Standards.
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